

Lect 12

Last time;

- Definition of a modular tensor category (MTC)
- Examples:
 - twisted category of modules over $\mathbb{Z}_n$
 - truncated category of modules over $U_q(\mathfrak{sl}_2)$, $q = \exp(i \frac{\pi m}{\ell})$, m, ℓ - odd and mutually prime.
 - Some properties of MTC.

Invariants of closed 3-manifolds

Gluing manifolds along a connected component of the boundary

Let M_1 and M_2 be two manifolds with $\dim(M_1) = \dim(M_2)$ and

$N_1 \subset \partial M_1$, $N_2 \subset \partial M_2$ be connected components of their boundary. Let $f: N_1 \rightarrow N_2$ be a homeomorphism.

The manifold

$$M_1 \cup_f M_2 = \{(x, y) \in M_1 \sqcup M_2 \mid y = f(x), \\ x \in N_1\}$$

is called the gluing M_1 to M_2 along N_1 and N_2 via f .

Lemma If $\varphi: N_1 \xrightarrow{\sim} N_1$ is a homeomorphism that extends to a homeomorphism $M_1 \xrightarrow{\sim} M_1$ then $M_{f \circ \varphi} \cong M_f$

If M_1 and M_2 are oriented, N_1 and N_2 are oriented with their orientation induced from M_1 and M_2 and f is an orientation reversing homeomorphism, M_f is naturally oriented.

We will use gluing in construction of 3-manifolds via a surgery and in the construction of a 3-dimensional TQFT.

Homeomorphisms of tori

Isotopy classes of homeomorphisms of a torus $S^1 \times S^1$ form a group $\Gamma_{1,0}$. It is a quotient of the group of all homeomorphisms by the subgroup of isotopies, i.e. homeomorphisms contractible to the identity

Lemma 1 Isotopy classes of homeomorphisms of $S^1 \times S^1$ are in bijection with linear transformations of $H_1(S^1 \times S^1, \mathbb{Z})$, i.e. $\Gamma_{1,0} \simeq SL_2(\mathbb{Z})$.

Let $T_0 = S^1 \times D$ be a standard solid

torus. Choose a basis α, β in $H_1(\partial T_0, \mathbb{Z})$ such that $\alpha = [\partial D]$. This fixes an isomorphism $H_1(\partial T_0; \mathbb{Z}) \simeq \mathbb{Z}^2$.

Let f_* be the action of the homeomorphism f on $H_1(\partial T_0; \mathbb{Z})$, $f_* \in SL_2(\mathbb{Z})$. Lemma 1 implies that for any element of $SL_2(\mathbb{Z})$ there exist such homeomorphism, unique up to an isotopy.

Proposition. Homeomorphisms of ∂T_0 representing elements

$$\begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}, \begin{pmatrix} 1 & k \\ 0 & 1 \end{pmatrix} \in SL_2(\mathbb{Z}), k \in \mathbb{Z}$$

extend to homeomorphisms of solid torus T_0 .

Remark. Homeomorphisms corresponding to $\begin{pmatrix} 1 & k \\ 0 & 1 \end{pmatrix}$ are called Dehn twists.



Homeomorphism corresponding to $\begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}$ changes orientation of ∂T_0 .

Surgery on S^3

Let $L \subset S^3$ be a framed link with connected components $L_1, \dots, L_m$.

Choose a tubular neighborhood T_i of each connected component L_i .

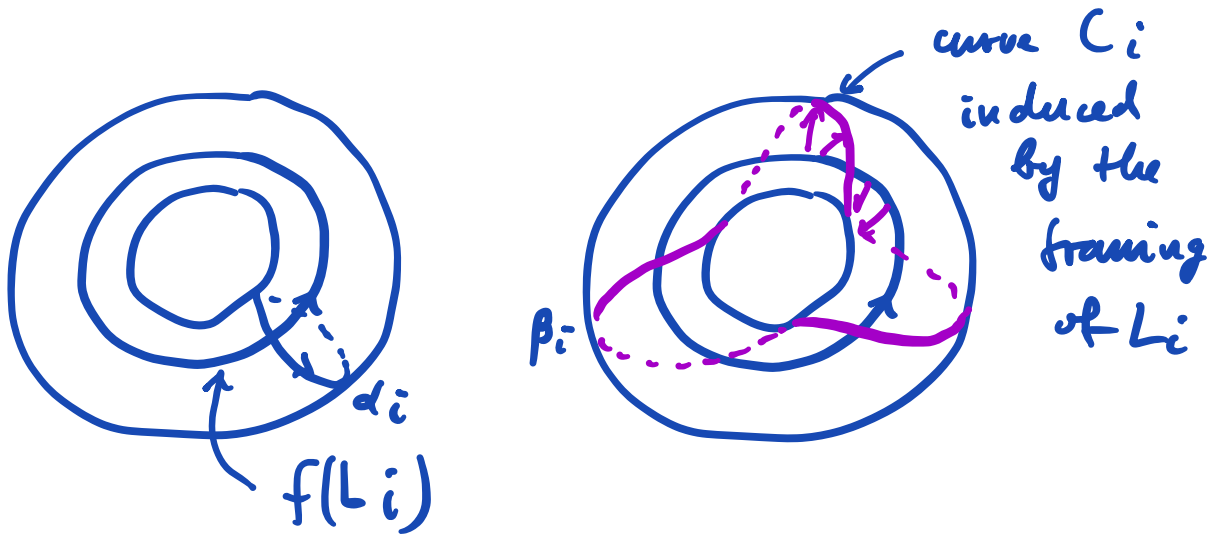
Each T_i is homeomorphic to $S^1 \times D$

where D is a disc, i.e. 2-dimensional

Ball B^2 . We can choose these

homeomorphisms such that the connected component L_i is mapped to $S^1 \times \{0\}$ where $\{0\}$ is the center of D .

Framing of L_i gives a curve on $\partial(S^1 \times B^2) = S^1 \times \partial D$, $(t, \tilde{\pi}(t))$, $t \in S^1$ where $\tilde{\pi}(t)$ is determined by the framing of L_i , see the picture



 $\leftarrow$ framed link L_i

We have a natural basis in

$H_1(\partial T_{L_i})$ for each $i=1, \dots, m$: α_i and $\beta_i = [C_i]$.

For each connected component $L_i \subset L$ fix a homeomorphism $f_i : \partial T_i \cong S' \times \partial D$

Now let us use these homeomorphisms to glue a copy of $T_0 = S' \times D$ to T_i .

Define

$$M_{L,f} = (M \setminus \text{Int}(T)) \cup_f (T_0^{\times m})$$

The 3-manifold $M_{L,f}$ is called the surgery on S^3 along L with attachment maps $f_1, \dots, f_m$.

An orientation of T induces an orientation of the result of the surgery.

Now let us fix isotopy classes of attachment maps f_i . Fix a basis α, β in $H_1(\partial T_0, \mathbb{Z})$ s.t. $\alpha = [\partial D]$ and choose the basis α_i, β_i in $H_1(\partial T_i, \mathbb{Z})$ as above. Let $(f_i)_*$ be the mapping $H_1(\partial T_i, \mathbb{Z}) \rightarrow H_1(\partial T_0, \mathbb{Z})$ representing the isotopy class f_i . Choose f_i such that

$$(f_i)_*(\alpha_i) = -\beta, \quad (f_i)_*(\beta_i) = \alpha$$

For this choice of attachment maps we will say $M_L, f = M_L$ is the result of a standard surgery along L on S^3 .

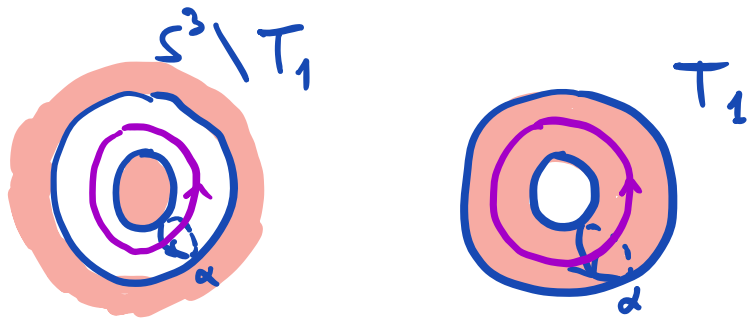
Clearly M_L is a closed, oriented, connected 3-manifold.

Thm. Each closed connected oriented 3-manifold can be obtained as a surgery on S^3 along a framed link.

Remark. M_L does not depend on the orientation of L .

Indeed, change of orientation of $L_i \subset L$ results in a homeomorphism $\alpha \mapsto -\alpha, \beta \mapsto -\beta$ on ∂T_i , which can be extended to a homeomorphism of T_i and therefore M_L is homeomorphic to $M_{\sigma_i(L)}$ where $\sigma_i(L)$ is the link L with opposite orientation of its component L_i .

Examples: (1) $L = \bigcirc$, unknot with trivial framing (no twists).



HW. Prove: $M_L = S^2 \times S^1$

(2) $L = \text{unknot with } -1 \text{ twist of framing}$

HW. Prove: $M_L = S^3$

Thm (Kirby) $M_L \cong M_{L'}$ iff L can be obtained from L' by a sequence of moves (Kirby moves):



More on surgery

Consider B^4 (4-dimensional ball),
 $\partial B^4 = S^3$, $L \subset S^3 = \partial B^4 \subset B^4$,

If we choose a tubular neighborhood
of L , we have

$$T_L \subset S^3 = \partial B^4 \subset B^4, \quad T_L = \bigcup_{i=1}^m T_i$$

where $m = |L|$ is the number of connected
components of L .

Now let us glue $\bigcup_{i=1}^m (D \times D)$ where D
is a disc (two dimensional ball B^2) to B^4
by identifying $(\partial D \times D)_i \xrightarrow{g_i} T_{L_i}$.

We assume $T_{L_i} \cong S^1 \times D$ as above using
the framing of L_i .

This gives a compact connected 4-
manifold W_L with

$$\partial W_L = (S^3 \setminus \text{Int}(T)) \cup_g (D \times \partial D)^{\times m} = M_L$$

The manifold W_L has natural orientation induced by the orientation of M_L . If $e_1 \wedge e_2 \wedge e_3 \in T_x M_L$

is an orientation of the tangent space to M_L and $T \in T_x W_L$, $x \in \partial W_L$ is the tangent vector such that an open collar in the direction $(-T)$ is in W_L (T is an "outward" tangent vector), then we choose $T \wedge e_1 \wedge e_2 \wedge e_3$ as the orientation on $T_x W_L$. It extends to W_L .

Denote by $\sigma(L)$ the signature of the intersection form on $H_2(W_L, \mathbb{R})$.

One can show that $\sigma(L)$ is also the signature of the linking matrix of the framed link L .

Invariants of closed 3-manifolds via surgery

Let $\mathcal{C}$ be a modular tensor category.

Recall that if $p_{\pm} = \sum_{i \in I} d_i v_i^{\pm 1}$,

we have

$$p_+ p_- = \sum_{i \in I} d_i^2$$

and the dimension of $\mathcal{C}$ is defined as

$$\mathcal{D} = \sqrt{p_+ p_-}$$

Let $F(L)$ be the invariant of link $L \subset S^3$ colored by the "average" color in $\mathcal{C}$;

$$F(L) = \sum_{i_1, \dots, i_m \in I} d_{i_1} \dots d_{i_m} \text{inv} \left(L_{i_1, \dots, i_m} \right)$$

Here $m = |L|$ is the number of connected

components of L and $i_1, \dots, i_m$ is their coloring by simple objects of $\mathcal{C}$. One can fix any orientation of L to construct $\text{inv}(L_{i_1 \dots i_m})$, the invariant $F(L)$ does not depend on it.

Theorem. (i) The combination

$$\tau(M_L) = D^{-|L|} \left(\frac{p_+}{p_-} \right)^{\frac{\sigma(L)}{2}} F(L)$$

is invariant with respect to the Kirby moves and therefore is an invariant of 3-manifold M_L . Here $\sigma(L)$ is the signature of the linking matrix of the framed link L .

$$(ii) \tau(M_{L_1 \cup L_2}) = \tau(M_{L_1}) \tau(M_{L_2})$$

Proof (ii) If $L_1 \subset S^3$ is not linked to $L_2 \subset S^3$,

i.e. if they can be separated by encircling L_1 with a ball $B^3 \subset S^3$, $\sigma(L_1 \cup L_2) = \sigma(L_1) + \sigma(L_2)$ and

$$F(L_1 \cup L_2) = F(L_1) F(L_2)$$

Since $|L_1 \cup L_2| = |L_1| + |L_2|$ we proved (ii).

(i) To prove the first statement we have to prove invariance with respect to Kirby moves.

Let us start with $n=0$. Let $L_0 = \bigcup$
We have

$$F(L_0) = \sum_i d_i v_i = p_+$$

and

$$\begin{aligned} \tau(M_{L_0}) &= \mathcal{D}^{-1} \left(\frac{p_+}{p_-} \right)^{\frac{\sigma(L)}{2}} \cdot p_+ = \\ &= \sqrt{\frac{p_+}{p_-}} \cdot \left(\frac{p_+}{p_-} \right)^{\frac{\sigma(L)}{2}} \end{aligned}$$

But for $\sigma(L_0) = -1$ and therefore

$$\tau(M_{L_0}) = 1$$

Because $\tau(M_L \sqcup L_0) = \tau(M_L) \tau(M_{L_0}) = \tau(M_L)$ we proved the invariance of τ with respect to $n=0$ Kirby move.

We already proved that

$$F\left(\begin{array}{c} \downarrow^j \\ \text{---} \\ \uparrow^j \end{array}\right) = p_+ F\left(\begin{array}{c} \downarrow^j \\ \text{---} \\ \downarrow^j \end{array}\right)$$

From here:

$$\begin{aligned} F\left(\begin{array}{c} \downarrow^{j_1} \dots \downarrow^{j_k} \\ \text{---} \\ \uparrow^{j_1} \dots \uparrow^{j_k} \end{array}\right) &= \sum_{a, l} F\left(\begin{array}{c} \downarrow^{l_1} \dots \downarrow^{l_k} \\ \text{---} \\ \downarrow^l \\ \text{---} \\ \uparrow^l \end{array}\right) = \\ &= \sum_{a, l} p_+ F\left(\begin{array}{c} \downarrow^{l_1} \dots \downarrow^{l_k} \\ \text{---} \\ \downarrow^l \\ \text{---} \\ \downarrow^l \end{array}\right) = p_+ F\left(\begin{array}{c} \downarrow^{j_1} \dots \downarrow^{j_k} \\ \text{---} \\ \downarrow^l \\ \text{---} \\ \downarrow^l \end{array}\right) \end{aligned}$$

Thus

$$F\left(\begin{array}{c} | \cdots | \\ \text{---} \text{---} \text{---} \\ | \cdots | \end{array}\right) = p_+ F\left(\begin{array}{c} | \cdots | \\ \text{---} \text{---} \text{---} \\ | \cdots | \end{array}\right)$$

Denote the link on the left by L_1
and the link on the right by L_2 . We
have

$$|L_1| = |L_2| + 1, \quad \sigma(L_1) = \sigma(L_2) - 1$$

(h/w, prove this)

Thus

$$\begin{aligned} \tau(M_{L_1}) &= \tau(M_{L_2}) \cdot D^{-1} p_+ \left(\frac{p_+}{p_-}\right)^{-\frac{1}{2}} \\ &= \tau(M_{L_2}) \end{aligned}$$

□